

Agenda item: PL 3.2

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**Chairman of the Council Working Group for the elaboration of the  
Strategic Plan and the Financial Plan for the Union 2016-2019**

REPORT OF THE COUNCIL WORKING GROUP FOR THE ELABORATION OF THE  
STRATEGIC PLAN AND THE FINANCIAL PLAN FOR THE UNION 2016-2019 (CWG SP-FP)

**Summary**

This document summarizes the work by the Council Working Group for the elaboration of the draft Strategic Plan and draft Financial Plan for the Union 2016-2019 (CWG SP-FP) and its progress after the 2013 session of the Council (C13), including the results of the three meetings held from June 2013 to February 2014. The fourth and final meeting will be held on 5 May 2014. A [draft Strategic Plan](#), a proposed revised PP [Resolution 71](#) adopting it, as well as proposed revised [Resolutions 72 and 151](#) along with a [draft Financial Plan](#) and a proposed revised [Decision 5](#) are published on the [CWG SP-FP website](#).

**Action required**

The Council is invited to **review** the proposed draft Strategic Plan of the Union for 2016-2019 and the proposed revised Resolutions 71, 72 and 151; as well as the proposed draft Financial Plan for the Union for 2016-2019 and the proposed revised Decision 5, to make any changes it deems necessary and to forward them to the Plenipotentiary Conference (PP-14).

**References**

*Council [Resolution 1358](#) (2013), [Resolutions 71, 72 and 151](#) (Rev. Guadalajara, 2010), [Decision 5](#) (Rev. Guadalajara, 2010), *Report of the Chairman of CWG Financial and Human Resources to Council (C14/45)**

## Introduction

At its 2013 Session, Council adopted [Resolution 1358](#) on the establishment of the Council Working Group for the elaboration of the draft Strategic Plan and draft Financial Plan for the Union for 2016–2019 (CWG SP-FP). Resolution 1358 instructs the CWG SP-FP to develop the draft Strategic Plan and the draft Financial Plan, based on the RBM framework, contributions from Member States and Sector Members, and inputs of the Secretary-General and Directors of the Bureaux. The CWG is open to Member States and Sector Members.

## First meeting

The first meeting took place during the 2013 session of Council, on 18 June 2013. As a result, there was a preliminary agreement on the overall structure of the draft Strategic Plan. The working methods and other organizational matters of the CWG SP-FP were agreed.

## Second meeting

The results of the second meeting of the CWG SP-FP, held on 13-14 November 2013, were the following:

- i) Glossary and Structure of the Strategic Plan were preliminary agreed;
- ii) Agreement on commissioning the secretariat to carrying CWG SP-FP's Public Consultation on the Structure, Glossary and Chairman/secretariat's contributions on the 2016-2019 Strategic Plan;
- iii) Sector-specific parts of the ITU strategic framework, such as Objectives, were agreed to be discussed within the corresponding Sectors via their Sector Advisory Groups;
- iv) Discussion on a working draft structure of the Financial Plan and support for the continuation of the value of contributory units at CHF 318,000.

## Third meeting

The third meeting of CWG SP-FP took place in Geneva on 27-28 February 2014.

The results of the CWG SP-FP's public consultation on the strategic framework were presented by the Chairman (see the corresponding [Report by the Chairman](#)). Contributors strongly supported the proposed strategic framework.

The documents preliminarily agreed upon by the third meeting were published on 5 March 2014 on the CWG SP-FP website. These documents were the results of discussions based on the contributions by membership and on the inputs to the public consultation, as follows:

### **Annex 1 to Resolution 71 – Background of the draft Strategic Plan**

Members made several proposals regarding the content of Annex 1. The meeting decided to rename the action "Situational Analysis" "General assessment" and that the situational analysis for the Sectors should be in a separate section. Several editorial corrections were agreed and the secretariat was requested to develop a harmonized text for the definitions of the Sector Advisory Groups. All other changes discussed are reflected in the draft Annex 1.

## **Annex 2 to Resolution 71 – Draft Strategic Plan for the Union 2016-2019**

The discussions started with the sectoral and intersectoral objectives. There were substantive discussions on some objectives, outcomes and outputs of the ITU-R, ITU-T and ITU-D Sectors and the intersectoral objectives. Where consensus could not be reached, options were proposed. A new objective was also proposed for ITU-T.

Contributions and proposals to modify the proposed ITU-wide vision and mission were discussed, and wording adjustments were proposed accordingly. Some delegations proposed changes to the strategic goals and targets. The participants were informed that the secretariat published the information document [CWG SP-FP/3/INF/4](#) on global telecommunication/ICT targets, and that the strategic targets were a work in progress to be further discussed at the next CWG SP-FP meeting. An adjustment to Strategic Goal 4 was proposed and endorsed, to include the concept of partnership.

In Section 2.3 on ITU Values, the secretariat published the information document [CWG SP-FP/3/INF/5](#) on the linkage between the values and goals with the Basic Instruments of the Union. The Chairman proposed that Section 2.3 remain in the document, pending further consideration by Member States and Sector Members of Information Document 5.

Some delegations asked that Section 5.1 on the linkage between strategic, financial and operational plans be amended to include that the Strategic Plan should be elaborated in full consistency with the Financial Plan. The Chairman of the Council Working Group for Financial and Human Resources (CWG-FHR) proposed that the text be included in Resolution 71 and in its draft Annex 2.

As a result, the overall proposed strategic framework with ITU-wide vision, mission, values, strategic goals and targets; sector and intersectoral objectives, outcomes and outputs; strategic risks; and implementation criteria was preliminary agreed by the Membership. All other changes discussed and proposed are reflected in the proposed draft text for Annex 2.

## **Annex 3 to Resolution 71 – Glossary**

The meeting decided to suppress from the Glossary all terms that are not present in Annexes 1 and 2. The result is shown in the proposed draft text for Annex 3.

## **Proposed revised Resolutions 71, 72 and 151**

The Chairman introduced document [CWG SP-FP/3/8](#) with proposed modifications. Members were invited to review and provide inputs prior to the fourth meeting of CWG SP-FP.

## **Draft Financial Plan for the Union for 2016-2019**

The draft Financial Plan ([CWG SP-FP/3/15](#)) was previously discussed during the meeting of the Council Working Group on Financial and Human Resources (CWG-FHR) earlier the same week and a report was presented by its Chairman to the third meeting of the CWG SP-FP. Section 8 of the report of the third meeting of CWG-FHR ([CWG-FHR-3/20](#)) contains a description of the discussions held on the Financial Plan.

The draft financial plan of the Union for 2016-2019, presented by the secretariat, showed expenses CHF 60.5 million higher than revenues. The Secretariat was asked to present a balanced financial plan for the next meeting of CWG SP-FP.

The Chairman proposed that the secretariat publish on the CWG SP-FP website a table with the proposed allocation (%) of objectives to the strategic goals of the draft strategic plan, based on the table in Annex 8 of [CWG SP-FP/3/15](#). It was agreed.

### **Other matters**

It was agreed, in the framework of the implementation of the 2016-2019 Strategic Plan, to recommend that the Council and the Sector Advisory Groups draw their attention and actively contribute to the elaboration of the Operational plans in the future.

### **Further work on the Strategic Plan**

The third meeting asked the secretariat's Intersectoral Task Force to follow up with relevant members on several pending issues, including the targets and section 5 of Annex 2 on Implementation and Evaluation. The secretariat was also requested to ensure that the changes applied to the objectives are properly reflected in the draft Financial Plan. The secretariat, working under the guidance of the Chairman of CWG SP-FP, reflected all the proposed changes into the relevant documents. Updated versions have been published on the website of the [fourth meeting of the CWG SP-FP](#) as follows:

- Annex 1 to Resolution 71: [Background of the draft Strategic Plan](#) (version with revision marks published as [INFO doc 4/4](#))
- Annex 2 to Resolution 71: [Draft Strategic Plan of the Union for 2016-2019](#) (version with revision marks published as [INFO doc 4/5](#))
- Annex 3 to Resolution 71: [Glossary](#) (version with revision marks published as [INFO doc 4/6](#))
- Proposed revised [Resolutions 71, 72 and 151](#)
- Information Document on [Sector and Intersectoral processes](#)
- Information Document on [Illustrative outcome indicators](#)

The CWG SP-FP decided to conduct a Public Consultation on the three Annexes to Resolution 71 and report to the fourth meeting of the CWG SP-FP.

### **Further work on the Financial Plan**

An updated version of the [draft Financial Plan](#) of the Union for 2016-2019 and a proposed revised [Decision 5](#) have been published on the website of the fourth meeting of the CWG SP-FP.

An [adjusted table](#) with the proposed allocation (%) of objectives to the strategic plan has been published.

### **Fourth meeting**

CWG SP-FP will hold its fourth meeting on Monday, 5 May 2014, before the 2014 Session of the ITU Council. During this meeting, CWG SP-FP will further review the draft Strategic Plan and draft Financial Plan and related documents.

Mario R. Canazza  
Chairman

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