

Agenda item: PL 3.2

Document C14/43(Rev.1)-E
5 May 2014
Original: English

Chairman of the Council Working Group for the elaboration of the Strategic Plan and the Financial Plan for the Union 2016-2019

REPORT OF THE COUNCIL WORKING GROUP FOR THE ELABORATION OF THE
STRATEGIC PLAN AND THE FINANCIAL PLAN FOR THE UNION 2016-2019 (CWG SP-FP)

Summary

This document summarizes the work by the Council Working Group for the elaboration of the draft Strategic Plan and draft Financial Plan for the Union 2016-2019 (CWG SP-FP), including the results of the four meetings held from June 2013 to May 2014. Draft Strategic and Financial Plans, as well as proposed revised draft Resolutions 71, 72 and 151 are included as Addenda.

The detailed Financial Plan and proposed draft Decision 5 are included in the [Report of the Chairman of the CWG on Financial and Human Resources](#).

Action required

The Council is invited to **review** the proposed draft Strategic and Financial Plans of the Union for 2016-2019 and the proposed draft Resolutions 71, 72 and 151, to make any changes it deems necessary and to forward them to the 2014 Plenipotentiary Conference (PP-14).

References

Council [Resolution 1358](#) (2013), Resolutions [71](#), [72](#) and [151](#) (Rev. Guadalajara, 2010), [Decision 5](#) (Rev. Guadalajara, 2010), *Report of the Chairman of CWG Financial and Human Resources to Council* ([C14/45](#))

Introduction

At its 2013 Session, Council adopted [Resolution 1358](#) on the establishment of the Council Working Group for the elaboration of the draft Strategic Plan and draft Financial Plan for the Union for 2016–2019 (CWG SP-FP). Resolution 1358 instructs the CWG SP-FP to develop the draft Strategic Plan and the draft Financial Plan, based on the RBM framework, contributions from Member States and Sector Members, and inputs of the Secretary-General and Directors of the Bureaux. The CWG is open to Member States and Sector Members.

First meeting

The first meeting took place during the 2013 session of Council, on 18 June 2013. As a result, there was a preliminary agreement on the overall structure of the draft Strategic Plan. The working methods and other organizational matters of the CWG SP-FP were agreed upon.

Second meeting

The results of the second meeting of the CWG SP-FP, held on 13-14 November 2013, were the following:

- i) Glossary and Structure of the Strategic Plan were preliminary agreed;
- ii) Agreement on commissioning the secretariat to carrying CWG SP-FP's Public Consultation on the Structure, Glossary and Chairman/secretariat's contributions on the 2016-2019 Strategic Plan;
- iii) Sector-specific parts of the ITU strategic framework, such as Objectives, were agreed to be discussed within the corresponding Sectors via their Sector Advisory Groups;
- iv) Discussion on a working draft structure of the Financial Plan and support for the continuation of the value of contributory units at CHF 318,000.

Third meeting

The third meeting of CWG SP-FP took place in Geneva on 27-28 February 2014.

The results of the CWG SP-FP's public consultation on the strategic framework were presented by the Chairman (see the corresponding [Report by the Chairman](#)). Contributors strongly supported the proposed strategic framework.

The documents preliminarily agreed upon during the third meeting include:

Annex 1 to Resolution 71 – Background on the Strategic Plan for the Union for 2016-2019

Members made several proposals regarding the content of Annex 1. The meeting decided to rename the section "Situational Analysis" to "General assessment", and that the situational analysis for the Sectors should be in a separate section. Several editorial corrections were agreed upon and the secretariat was requested to develop a harmonized text for the definitions of the Sector Advisory Groups. All other changes discussed were reflected in the draft Annex 1.

Annex 2 to Resolution 71 – Draft Strategic Plan for the Union 2016-2019

The discussions started with the sectoral and intersectoral objectives. There were substantive discussions on some objectives, outcomes and outputs of the ITU-R, ITU-T and ITU-D Sectors and the intersectoral objectives. Where consensus could not be reached, options were proposed. A new objective was also proposed for ITU-T.

Contributions and proposals to modify the proposed ITU-wide vision and mission were discussed, and wording adjustments were proposed accordingly. Some delegations proposed changes to the strategic goals and targets. The participants were informed that the secretariat published Information Document [CWG SP-FP/3/INF/4](#) on global telecommunication/ICT targets, and that the strategic targets were a work in progress to be

further discussed at the next CWG SP-FP meeting. An adjustment to Strategic Goal 4 was proposed and endorsed, to include the concept of partnership.

In Section 2.3 on ITU Values, the secretariat published Information Document [CWG SP-FP/3/INF/5](#) on the linkage between the values and goals with the Basic Instruments of the Union. The Chairman proposed that Section 2.3 remain in the document, pending further consideration by Member States and Sector Members of that Information Document.

Some delegates proposed that Section 5.1 on the linkage between strategic, financial and operational plans states that the Strategic Plan should be elaborated in full consistency with the Financial Plan. The Chairman of the Council Working Group for Financial and Human Resources (CWG-FHR) proposed that the text be included in Resolution 71 and in its draft Annex 2.

As a result, the overall proposed strategic framework with the ITU-wide vision, mission, values, strategic goals and targets; sector and intersectoral objectives, outcomes and outputs; strategic risks; and implementation criteria was preliminary agreed by the Membership. All other changes discussed and proposed are reflected in the proposed draft text for Annex 2.

Annex 3 to Resolution 71 – Glossary for the Strategic Plan for the Union for 2016-2019

The meeting decided to suppress from the Glossary all terms that are not present in Annexes 1 and 2. The result was incorporated in the proposed draft text for Annex 3.

Proposed revised Resolutions 71, 72 and 151

The Chairman introduced document [CWG SP-FP/3/8](#) with proposed modifications. Members were invited to review and provide inputs prior to the fourth meeting of CWG SP-FP.

Draft Financial Plan for the Union for 2016-2019

The draft Financial Plan ([CWG SP-FP/3/15](#)) was previously discussed during the meeting of the Council Working Group on Financial and Human Resources (CWG-FHR) earlier the same week and a report was presented by its Chairman to the third meeting of the CWG SP-FP. Section 8 of the Report of the third meeting of CWG-FHR ([CWG-FHR-3/20](#)) contains a description of the discussions held on the Financial Plan.

The draft Financial Plan for the Union for 2016-2019, presented by the secretariat, showed expenses CHF 60.5 million higher than revenues. The secretariat was asked to present a balanced financial plan for the next meeting of CWG SP-FP.

The Chairman proposed that the secretariat publish on the CWG SP-FP website a table with the proposed allocation (%) of objectives to the strategic goals of the draft strategic plan, based on the table in Annex 8 of [CWG SP-FP/3/15](#). It was agreed.

Other matters

It was agreed, in the framework of the implementation of the 2016-2019 Strategic Plan, to recommend that the Council and the Sector Advisory Groups draw their attention and actively contribute to the elaboration of the Operational Plans in the future.

Further work on the Strategic Plan after the 3rd Meeting

The third meeting asked the secretariat's Intersectoral Task Force to follow up with members on several pending issues, including the targets and Section 5 of Annex 2 on Implementation and Evaluation. The secretariat was also requested to ensure that the changes applied to the objectives were properly reflected in the draft Financial Plan. The secretariat, working under the guidance of the Chairman of CWG SP-FP, reflected all the proposed changes into the relevant documents. Updated versions were published on the website of the [fourth meeting of the CWG SP-FP](#) as follows:

- Annex 1 to Resolution 71: Background of the draft Strategic Plan
- Annex 2 to Resolution 71: Draft Strategic Plan of the Union for 2016-2019
- Annex 3 to Resolution 71: Glossary
- Proposed revised Resolutions 71, 72 and 151
- Information Document on [Sector and Intersectoral processes](#)
- Information Document on [Illustrative outcome indicators](#)
- Information Document on [Global ICT Targets](#)

The CWG SP-FP decided to conduct a Public Consultation on the three Annexes to Resolution 71 and report to the fourth meeting of the CWG SP-FP.

Further work on the Financial Plan after the 3rd Meeting

An updated version of the draft Financial Plan of the Union for 2016-2019 and a proposed revised Decision 5 were published. An [adjusted table](#) with the proposed allocation (%) of objectives to the strategic plan was also published.

Fourth meeting

CWG SP-FP held its fourth meeting on Monday, 5 May 2014, before the 2014 Session of the ITU Council. During this meeting, CWG SP-FP further reviewed the draft Strategic Plan and draft Financial Plan and related documents. The progress achieved during the fourth CWG SP-FP meeting is presented in more details below:

Draft Resolutions 71, 72 and 151 (Addenda 1 and 2)

Proposed revisions to Resolutions 71, 72 and 151 were agreed as contained in Addendum 1 to this Report. Following a contribution by the Russian Federation, CWG suggested to consider adding the Financial Plan as Annex 4 to Resolution 71, containing the allocation of resources to objectives and strategic goals (as presented in Addendum 2), after consideration of the proposed Financial Plan by Council.

Annex 1 to draft Resolution 71 – Background on the Strategic Plan for the Union for 2016-2019 (Addendum 3)

Proposed Annex 1 to Resolution 71 was agreed as contained in Addendum 3. Input from WTDC-14 was included in the ITU-D situational analysis (Section 3.3). There was a proposal on a cross-cutting replacement of the term "telecommunication/ICT environment" to "telecommunication/ICT environment/sector". Members were invited to propose to PP-14 the correct term between "environment" and "sector", considering the context in which they are included.

Annex 2 to draft Resolution 71 – Strategic Plan for the Union for 2016-2019 (Addendum 4)

Proposed Annex 2 to Resolution 71 was agreed as contained in Addendum 4. It incorporates ITU-D's contribution to the draft ITU Strategic Plan 2016-2019, as endorsed by WTDC-14.

Following a discussion on the proposed outcomes and outputs for the ITU-R and ITU-T, the CWG proposed that these outcomes and outputs (Table 5 under Section 4.2) could be further elaborated by the respective sector advisory groups (RAG and TSAG).

Additionally, further work on Global ICT Targets will be undertaken by the Secretariat in collaboration with interested Members, in order to address the proposals of members in compliance with the principles agreed for the targets (Section 3.2).

Council Working Group noted the fact that the text on intersectoral outputs will have to take into account decisions to be made at PP-14 in relation to the organization of intersectoral world conferences, fora, events and platforms for high-level debate. Moreover, a fifth intersectoral objective (I.5) was agreed upon and included; its respective outcomes and outputs should be further elaborated by the Membership.

Annex 3 to draft Resolution 71 – Glossary of the Strategic Plan for the Union for 2016-2019

Proposed Annex 3 to Resolution 71, as endorsed by WTDC-14, was agreed as contained in Addendum 5.

Financial Plan for the Union for 2016-2019

A proposed balanced Financial Plan, taking into account the results of WDTC-14, was presented. Considering that this was the first time a balanced Financial Plan for 2016-2019 was presented, CWG agreed that the discussion should continue at Council.

Mario R. Canazza
Chairman
